

Last time:

Newton polygons

Aim:  $K$  complete, non-arch. valued field

$g, f \in K[x]$

$\Rightarrow$  If  $f$  &  $g$  are suff. "close"  
then the roots of  $f$  &  $g$  generate  
the same field ext. of  $K$

E.g.:  $L/\mathbb{Q}_p$  finite

$\Rightarrow \exists g(x) \in \mathbb{Q}[x]$ , s.t.  $L \simeq \mathbb{Q}_p[x] / (g(x))$   
 $\equiv$   
(not  $\mathbb{Q}_p$ )

}  
a completion of a  
number field at the  
valuation ass. with  
some maximal ideal  
(e.g. of  $\mathbb{Q}[x] / (g(x))$  ;)

Lemma (Krasner):  $\alpha, \beta \in K^{\text{sep}}$ , s.t.

$$|\beta - \alpha| < |\beta - \beta'| \quad \forall \text{ Galois conj. } \beta' \text{ of } \beta, \beta' \neq \beta$$

$$\Rightarrow \beta \in K(\alpha)$$

Proof:  $K(\alpha) = (K^{\text{sep}})^H$

with  $H = \{ \sigma \in \text{Gal}(K^{\text{sep}}/K) \mid \sigma(\alpha) = \alpha \}$

$$\Rightarrow \text{STP: } \sigma(\beta) = \beta \quad \forall \sigma \in H$$

Now:

$$|\sigma(\beta) - \beta| = |\sigma(\beta) - \alpha + \alpha - \beta|$$

$$\leq \max \{ |\sigma(\beta - \alpha)|, |\alpha - \beta| \}$$

$\sigma \in H$

$$= |\beta - \alpha| < |\sigma(\beta) - \beta| \text{ if } \sigma(\beta) \neq \beta$$

Ass.

$$\Rightarrow \sigma(\beta) = \beta \quad \forall \sigma \in H$$

□

Recall:  $\|f\| := \max_{i=0, \dots, n} |a_i|$   $f(x) = \sum_{i=0}^n a_i x^i$

"Gauß norm"

Thm: Let  $f(x) \in K[x]$  be irreducible, separable, monic of deg  $n$

Let

$$d_0 := \min_{\alpha \neq \alpha'} \{|\alpha - \alpha'|\}$$

$\alpha, \alpha'$  roots of  $f$  in  $K^{\text{sep}}$

Let  $0 < \varepsilon < d_0$

Then there exists  $\delta > 0$ , s.t.

if  $g \in K[x]$  is monic of degree  $n$

with  $\|f - g\| < \delta$ , then there exists

an ordering  $\alpha_1, \dots, \alpha_n$  of the roots of  $f(x)$   
 $\beta_1, \dots, \beta_n$   $g(x)$

&  $|\alpha_i - \beta_i| < \varepsilon$ ,  $K(\alpha_i) = K(\beta_i)$

In part,  $g$  is irreducible (as  $K(\beta_i)$  has  $\deg n$ ).

Appl.:  $L/\mathbb{Q}_p$  finite of  $\deg n$

$\Rightarrow \exists f \in \mathbb{Q}_p[x]$ , s.t.

$$L \cong \mathbb{Q}_p[x]/(f(x)) \quad (L/\mathbb{Q}_p \text{ separable})$$

For  $g(x) \in \mathbb{Q}[x]$  suff. close, monic  
of degree  $n$ ,  $L \cong \mathbb{Q}_p[x]/(g(x))$

In part,  $L \cong K_p$  for some number field  
 $K$  and  $p \in \mathcal{O}_K$  max'le

Proof of thm:

If  $h(x) = x^n + \sum_{i=0}^{n-1} c_i x^i \in K[x]$  monic

&  $\alpha \in \bar{K}$  root of  $h$ ,

then  $|\alpha|^n = \left| \sum_{i=0}^{n-1} c_i \alpha^i \right|$

$$\Rightarrow \exists \bar{j}, \text{ s.t. } |p| \leq |c_{\bar{j}} \cdot x^{\bar{j}}|$$

$$\Rightarrow |p| \leq \max_{0 \leq \bar{j} \leq n-1} |c_{\bar{j}}|^{\frac{1}{n-\bar{j}}}$$

$$\leq \max_{0 \leq \bar{j} \leq n-1} \|h\|^{\frac{1}{(n-\bar{j})}}$$

i.e.  $|p|$  is bdd in terms of  $\|h\|$  (and  $n$ )

Let  $\tilde{\delta} > 0$ , s.t.  $0 < \tilde{\delta} \leq \|f\|$

Let  $g \in K[x]$  monic of degree  $n$ ,

(note if  $\|f - g\|$  is suff. small, then  $g$  is separable)

$$\text{s.t. } \|f - g\| < \tilde{\delta}$$

$$\Rightarrow \|g\| \leq \max \{ \|f\|, \|f - g\| \} \leq \|f\|$$

$< \tilde{\delta} \leq \|f\|$

$\Rightarrow \exists$  constant  $C_0 > 0$  (depending only  $\|f\|$ ),

s.t.  $|\beta| < C_0$  for all  $\tilde{\delta} \leq \|f\|$ ,  $g$  as above  
 $\beta \in K^{\text{sep}}$  root of  $g$

$$\Rightarrow \prod_{\alpha} |\beta - \alpha| = |f(\beta)| = |f(\beta) - g(\beta)| \leq C_1 \cdot \|f - g\| \leq C_1 \cdot \tilde{\delta}$$

for some constant  $C_1 > 0$  (depending only on  $f$ )

In part,  $\min_{\substack{\alpha \text{ root} \\ \text{of } f}} \{|\beta - \alpha|\} \rightarrow 0$  if  $\tilde{\delta} \rightarrow 0$

In part,  $\min_{\substack{\alpha \text{ root} \\ \text{of } f}} \{|\beta - \alpha|\} < \varepsilon$  for  $\tilde{\delta} := \tilde{\delta}$  some  $> 0$  suff. small

Let  $g \in K[x]$  monic, irred.  
 $\beta$  root of  $g$   $\|f - g\| < \delta$

Claim:  $\exists$  unique root  $\alpha(\beta)$  of  $f(x)$ ,

s.t.  $|\beta - \alpha|$  minimal

Proof of claim: If  $|\beta - \alpha| = |\beta - \alpha'|$

minimal ( $\alpha, \alpha'$  roots of  $f$ ), then

both are less than  $\varepsilon < d_0 = \max_{\substack{\alpha, \alpha' \\ \text{roots of} \\ f, \alpha \neq \alpha'}} |\alpha - \alpha'|$

$$\Rightarrow |\alpha - \alpha'| \leq \max\{|\beta - \alpha|, |\beta - \alpha'|\} < \varepsilon \stackrel{d_0}{\square}$$

Aim bijection

$$\{\text{roots of } g\} \xrightarrow{\tau:1} \{\text{roots of } f\}$$

$$\beta \mapsto \alpha(\beta)$$

Claim:  $K(\alpha(\beta)) = K(\beta)$

Proof of claim: STP:  $\alpha(\beta) \in K(\beta)$

(as  $[K(\alpha(\beta)):K] = n$ ,  $[K(\beta):K] \leq n$ )

$$\Rightarrow \text{STP: } |\beta - \alpha(\beta)| \leq |\alpha(\beta) - \alpha'|$$

Krasner's  
la

$\forall \alpha' \neq \alpha(\beta)$  root  
of  $f$

But  $\|f\beta - \alpha(\beta)\| < \varepsilon < d_0 \leq \|\alpha(\beta) - \alpha'\|$   
 $\forall \alpha' \neq \alpha(\beta)$  root of  $f$

Claim:  $f\beta \mapsto \alpha(\beta)$  is bijection  
(after possibly shrinking  $\delta$ )

Prf of claim: STP:  $f\beta \mapsto \alpha(\beta)$  injective  
Know:

$$\|g'(\beta) - f'(\beta)\| \leq C_2 \cdot \|f - g\| < C_2 \cdot \delta$$

for some constant  $C_2 > 0$  depending  
only on  $f$

Now,

$$\|f'(\beta)\| \leq \max \{ \|f'(\beta) - f'(\alpha(\beta))\|, \|f'(\alpha(\beta))\| \}$$

and  $\|f'(\alpha(\beta))\| \geq C_3 > 0$  for some  
constant  $C_3$  (depends on  $f$ )

If  $\delta \rightarrow 0$ , then  $\|f'(\beta) - f'(\alpha(\beta))\| \rightarrow 0$ ,  
as  $\beta \rightarrow \alpha(\beta)$



=> For  $\delta$  suff. small

$$|g'(\beta)| = \max \{ |g'(\beta) - f'(\beta)|, |f'(\beta) - f'(\alpha(\beta))|, |f'(\alpha(\beta))| \} = |f'(\alpha(\beta))|$$

Upshot:  $|g'(\beta)| \geq C_3 > 0$  for all  $\delta$  suff. small,

all  $g$ , s.t.  $\|f - g\| < \delta$   
all roots  $\beta$  of  $g$

Assume  $\alpha_0 := \alpha(\beta) = \alpha(\beta')$  for  $\beta \neq \beta'$   
roots of  $g$

$$\Rightarrow |\beta - \beta'| \leq \max \{ |\beta - \alpha_0|, |\beta' - \alpha_0| \}$$

$$\rightarrow 0, \delta \rightarrow 0$$

& thus

$$|g'(\beta)| = \prod_{\substack{\beta'' \text{ roots} \\ \text{of } g \\ \beta'' \neq \beta, \beta'}} |\beta - \beta''| \cdot |\beta - \beta'|$$

$< C_0$

$\rightarrow 0, \delta \rightarrow 0$

□

# Finite extensions of complete, discretely valued fields

$K$  complete, disc. valued

$v|$

$$\mathcal{O}_K \quad K = \mathcal{O}_K / \mathfrak{m}_K$$

$v|$

$$\mathfrak{m}_K = (\pi_K)$$

Let

$L$  finite ext. of  $K$ ,  $n = [L:K]$

$v|$

$$\mathcal{O}_L \quad K_L = \mathcal{O}_L / \mathfrak{m}_L$$

$v|$

$$\mathfrak{m}_L = (\pi_L)$$

E.g.:  $K = \mathbb{Q}_p$ ,  $L = \mathbb{Q}_p(\sqrt[m]{p})$ ,  $\mathbb{Q}_p(\zeta_m)$   $m \geq 1$

Recall:  $\mathcal{O}_L$  free over  $\mathcal{O}_K$ ,  $v|_{\mathcal{O}_K} \mathcal{O}_L = n$

Let  $v_K: K \rightarrow \mathbb{Z} \cup \{\infty\}$  normalized valuation for  $K$

$\leadsto$  unique ext.  $v_K: L \rightarrow \frac{1}{n} \cdot \mathbb{Z} \cup \{\infty\}$

$$x \in L, v_K(x) = \frac{1}{n} v_K(N_{L/K}(x))$$

$v_L: L \rightarrow \mathbb{Z} \cup \{\infty\}$  normalized valuation  
for  $L$

In general:  $v_K, v_L: L \rightarrow \mathbb{R} \cup \{\infty\}$   
are different!

Note:  $m_K \cdot \mathcal{O}_L = \pi_K \cdot \mathcal{O}_L = m_L^e$  for some  $e \geq 1$   
"ramification index"  $e = e(L|K)$

$$\text{Equiv: } v_K(\pi_L) = \frac{1}{e} \in \frac{1}{n} \mathbb{Z} \quad (v_K(\pi_K) = 1)$$

In part,  $e | n$

$$e \cdot v_K(\pi_L)$$

$$\text{Equiv: } v_L(\pi_K) = e$$

If  $e = 1$  &  $k_L/k$  is separable, then

$L/k$  is called unramified

Def:  $f(L|K) := [k_L : k]$  residue degree

Then  $n = e(L|K) \cdot f(L|K)$

$$(n = \dim_K(\mathcal{O}_L/\pi_K \cdot \mathcal{O}_L) = e \cdot \dim_K k_L$$

as  $\mathcal{O}_L/\pi_L \cong k_L$  and  $\mathcal{O}_L/\pi_K$  has filtr.

of length  $e$  with quotients  $m_L^j/m_L^{j+1} \cong k_L$

as  $\mathcal{O}_L$ -modules)

Assume  $n = e$  (i.e. " $L|K$  is totally ramified")

$$\Rightarrow v_K(\pi_L) = \frac{1}{n} \Rightarrow v_K(N_{L|K}(\pi_L)) = 1$$

Let  $f \in \mathcal{O}_K[x]$  be the min. polynomial of  $\pi_L$

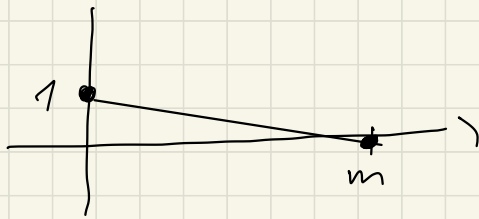
$$x^m + a_{m-1}x^{m-1} + \dots + a_0$$

$$(f \in \mathcal{O}_K[x])$$

as  $\mathcal{O}_L \cap K = \mathcal{O}_K$ )

$$\Rightarrow v_K(a_0) = 1$$

$\Rightarrow NP(f)$  is a line, namely fixed



i.e.  $v_K(a_i) \geq 1$  for  
 $i = 0, \dots, m-1$

("f is Eisenstein")

As  $\mathcal{O}_L = \mathcal{O}_K[\pi_L]$  (check modulo  $\pi_K$ )

$\Leftrightarrow m = n$

Converse holds true:  $f \in \mathcal{O}_K[x]$  Eisenstein

$\Rightarrow L = K[x]_{/f(x)}$  tot. ramified ext/ $K$

$\mathcal{O}_L = \mathcal{O}_K[\bar{x}]$ ,  $\pi_L := \bar{x}$  is a unif.  
 $\uparrow$   
 res. class of  $x$  in  $L$